

Half Yearly Financial Results for the period ended Sept 30, 2010 (Unaudited)

Sr. No.	Particulars		Kotak Bond Unit Scheme 99		Kotak Liquid (Regular, Institutional & Institutional Premium)	Kotak Floater Short Term	Kotak Floater Long Term	Kotak Flexi Debt Regular & Institutional Plan	Kotak Gilt		Kotak Credit Opportunities Fund
			Regular & Deposit Plans	Kotak Bond Short Term Plan					Savings Plan	Investment Regular & PF Plans	
1.1	Unit Capital at the beginning of the half-year period	[Rs.in Crores]	93.64	712.17	897.81	107.75	4,846.81	2,839.98	36.90	27.58	
1.2	Unit Capital at the end of the period	[Rs.in Crores]	62.79	757.96	1,770.42	688.62	2,306.33	1,688.43	20.58	30.45	732.87
2	Reserves & Surplus	[Rs.in Crores]	57.44	158.47	1,245.22	240.04	340.43	117.01	20.26	35.19	10.75
3.1	Total Net Assets at the beginning of the half-year period	[Rs.in Crores]	181.36	863.75	1,486.07	146.99	5,605.47	3,044.04	76.38	60.10	
3.2	Total Net Assets at the end of the period.	[Rs.in Crores]	120.23	916.43	3,015.64	928.66	2,646.76	1,805.44	40.84	65.64	743.62
4.1	NAV at the beginning of the half-year period	[Rs.]									
	Growth Option	[Rs.]		17.7643		15.0812	14.6124		21.3636		
	Weekly Dividend Option	[Rs.]				10.0428	10.0775				
	Daily Dividend	[Rs.]				10.0755	10.0798	10.0652			
	Annual Dividend	[Rs.]							14.2862		
	Regular Growth Option	[Rs.]	26.2217		17.8495			14.3366		31.0950	
	Regular Weekly Dividend Option	[Rs.]			10.0243			10.0373			
	Regular Quarterly Dividend Option	[Rs.]	10.4295					10.2633		12.8528	
	Regular Annual Dividend Option	[Rs.]	17.5245								
	Regular Bonus Option	[Rs.]	17.4784								
	Deposit Growth Option	[Rs.]	24.4752								
	Deposit Dividend Option	[Rs.]	12.3573								
	Institutional Growth Option	[Rs.]			18.2265			11.3270			
	Institutional Weekly Dividend Option	[Rs.]			10.0248			10.1871			
	Institutional Daily Dividend Option	[Rs.]			12.2281			10.0475			
	Institutional Premium Growth Option	[Rs.]			18.6626						
	Institutional Premium Weekly Dividend Option	[Rs.]			10.0554						
	Institutional Premium Daily Dividend Option	[Rs.]			12.2281						
	Monthly Dividend Option	[Rs.]		10.0886		10.0214	10.0666		10.4778		
	Provident Fund & Trust Growth Option	[Rs.]								31.7396	
	Provident Fund & Trust Dividend Option	[Rs.]								10.9846	
4.2	NAV at the end of the period	[Rs.]									
	Growth Option	[Rs.]		18.1506		15.4353	15.0027		21.5832		10.1787
	Weekly Dividend Option	[Rs.]				10.0641	10.0798				10.0518
	Daily Dividend Option	[Rs.]				10.1162	10.0816	10.0907			
	Annual Dividend Option	[Rs.]							14.4331		10.1783
	Regular Growth Option	[Rs.]	26.9727		18.2354			14.6507		31.8824	
	Regular Weekly Dividend Option	[Rs.]			10.0269			10.0386			
	Regular Quarterly Dividend Option	[Rs.]	10.3588					10.2816		12.6123	10.0326
	Regular Annual Dividend Option	[Rs.]	18.0265								
	Regular Bonus Option	[Rs.]	17.9790								
	Deposit Growth Option	[Rs.]	25.1582								
	Deposit Dividend Option	[Rs.]	12.0715								
	Institutional Growth Option	[Rs.]			18.6257			11.6371			
	Institutional Weekly Dividend Option	[Rs.]			10.0275			10.1924			
	Institutional Daily Dividend Option	[Rs.]			12.2281			10.0495			
	Institutional Premium Growth Option	[Rs.]			19.1608						
	Institutional Premium Weekly Dividend Option	[Rs.]			10.0676						
	Institutional Premium Daily Dividend Option	[Rs.]			12.2281						
	Monthly Dividend Option	[Rs.]		10.0735		10.0321	10.0691		10.4171		10.0451
	Provident Fund & Trust Growth Option	[Rs.]								32.5432	
	Provident Fund & Trust Dividend Option	[Rs.]								10.8477	
4.3	Dividend paid per unit during the half-year	[Rs.]									
	Regular Quarterly Dividend Option	[Rs.]	^0.3254/**0.3032					^0.1803/**0.1680		^0.4969/**0.4631	^0.1277/**0.1190
	Deposit Quarterly Dividend Option	[Rs.]	^0.5542/**0.5165								
	Monthly Dividend Option	[Rs.]		^0.2045/**0.1905		^0.1735/**0.1735	^0.2308/**0.2150		^0.1472/**0.1372		^0.1169/**0.1089
	Weekly Dividend Option	[Rs.]			^0.1660/**0.1660	^0.1660/**0.1660	^0.2311/**0.2155	^0.1900/**0.1769			^0.1106/**0.1031
	Daily Dividend Option	[Rs.]				^0.152722/**0.152722	^0.228883/**0.213266	^0.166396/**0.155030			
	Provident Fund & Trust Dividend Option	[Rs.]								**0.3378	
	Institutional Weekly Dividend Option	[Rs.]			^0.1679			^0.2370/**0.2209			
	Institutional Daily Dividend Option	[Rs.]			^0.20618/**0.20618			^0.233167/**0.217262			
	Institutional Premium Weekly Dividend Option	[Rs.]			**0.1982						
	Institutional Premium Daily Dividend Option	[Rs.]			^0.248983/**0.248983						
	Income										
5.1	Dividend	[Rs.in Crores]	-	-	-	-	-	-	-	-	-
5.2	Interest	[Rs.in Crores]	5.43	39.32	108.52	8.17	197.40	161.39	2.31	1.93	19.71
5.3	Profit/(Loss) on sale / redemption of investments (other than inter scheme transfer/sale)	[Rs.in Crores]	1.53	(1.63)	-	0.07	(1.04)	(6.47)	(0.42)	0.14	(0.73)
5.4	Profit/(Loss) on inter-scheme transfer / sale of investments	[Rs.in Crores]	(0.40)	(0.55)	1.04	0.09	4.69	5.52	(0.20)	(0.05)	0.22
5.5	Other income	[Rs.in Crores]	#	-	-	-	-	-	-	-	0.03
5.6	Total Income (5.1 to 5.5)	[Rs.in Crores]	6.56	37.14	109.56	8.33	201.05	160.44	1.69	2.02	19.23
	Expenses										
6.1	Management Fees	[Rs.in Crores]	0.88	5.21	0.55	0.29	1.40	10.93	0.43	0.35	2.71
6.2	Trustee Fees	[Rs.in Crores]	0.02	0.13	0.51	0.03	0.92	0.70	0.01	0.01	0.25
6.3	Total Recurring Expenses (including 6.1 and 6.2)	[Rs.in Crores]	1.49	7.19	1.22	0.54	9.54	18.21	0.70	0.63	4.84
6.4	Percentage of Management Fees to daily average net assets (annualised)	[%]	1.23	1.02	0.03	0.22	0.04	0.39	1.25	1.25	1.07
6.5	Total Recurring expenses as a percentage of daily average net assets (annualised)	[%]	2.07	1.41	0.06	0.40	0.26	0.65	2.04	2.25	1.92
7.1	Returns during the half-year (Absolute) \$										
a)	Scheme/Plan	[%]	2.85, 2.77	2.12	2.16, 2.19, 2.67	2.35	2.65	2.17, 2.72	1.01	2.52, 2.52	1.72
b)	Benchmark --	[%]	2.60	2.32	2.41	2.41	2.41	2.60	1.78	2.79	2.30
7.2	Compounded Annualised yield in case of schemes in existence for more than 1 year \$										
(i)	Last 1 year - Scheme/Plan	[%]	5.86, 5.94	5.32	3.90, 3.96, 4.81	4.22	5.11	4.23, 5.20	3.35	5.82, 5.98	NA
	- Benchmark --	[%]	5.46	4.92	4.05	4.05	4.05	5.46	5.53	5.67	NA
(ii)	Last 3 years - Scheme/Plan	[%]	9.49, 9.12	8.50	5.98, 6.13, 6.80	6.45	7.13	6.50, NA	4.90	9.54, 9.74	NA
	- Benchmark --	[%]	6.35	7.23	6.11	6.11	6.11	6.35	8.11	8.14	NA
(iii)	Last 5 years - Scheme/Plan	[%]	8.39, 7.62	8.10	6.13, 6.37, 6.92	6.65	7.15	6.86, NA	5.05	7.25, 7.54	NA
	- Benchmark --	[%]	5.55	6.70	6.25	6.25	6.25	5.55	7.50	7.25	NA
(iv)	Since launch of the Scheme/Plan - Scheme /Plan	[%]	9.57, 8.87	7.33	6.19, 5.93, 6.40	6.20	6.83	6.76, 6.67	6.75	10.35, 6.13	NA
	- Benchmark --	[%]	NA	6.18	NA, 5.61, 5.70	5.64	5.92	5.64, 6.27	NA	N.A, 5.88	NA
	Date of allotment		25-Nov-99	2-May-02	05-Oct-2000, 12-Mar-2003 & 04-Nov-2003	14-Jul-03	13-Aug-04	06-Dec-2004 & 28-May-2008	29-Dec-98	29-Dec-1998 & 11-Nov-2003	11-May-10
8	Provision for Doubtful Income/Debts	[Rs.in Crores]	-	-	-	-	-	-	-	-	-
9	Payments to associate/group companies	[Rs.in Crores]	0.01	0.30	0.19	0.05	0.91	0.19	#	0.06	0.17
10	++ Investments made in associate/group companies	[Rs.in Crores]	-	-	-	-	-	25.61	-	-	25.04

Less than Rs. 0.005 Crores ^ Dividend paid during the period for Individual / HUF unit holders ** Dividend paid during the period for Other unit holders ++ Investment made in associate group companies excludes Term Deposits. \$ Absolute returns and Compounded Annualised Yield have been calculated based on NAV of Growth Option of the respective Scheme / Plan Compounded annualised yield since launch of the Scheme/Plan has been calculated from date of allotment. -- Benchmarks used for the Schemes are as follows: Kotak Bond - Regular and Deposit Plans, Kotak Flexi Debt, Kotak Credit Opportunities Fund - CRISIL COMPOSITE BOND FUND INDEX, Kotak Bond Short Term Plan - CRISIL SHORT - TERM BOND FUND INDEX, Kotak Floater Short Term, Kotak Floater Long Term & Kotak Liquid - CRISIL LIQUID FUND INDEX, Kotak Gilt - Savings Plan - ISEC SI-BEX, Kotak Gilt - Investment Plan Regular and Provident Fund & Trust Plan - ISEC COMPOSITE INDEX, N.A - Not Applicable

Sr. No.	Particulars		Kotak 30	Kotak Balance	Kotak Opportunities	Kotak Midcap	Kotak Contra	Kotak Tax Saver	Kotak Lifestyle	Kotak Income Plus	Kotak Equity FOF	Kotak Equity Arbitrage Fund
1.1	Unit Capital at the beginning of the half-year period	[Rs.in Crores]	206.58	29.72	451.52	80.80	50.08	421.38	100.47	103.98	15.16	500.55
1.2	Unit Capital at the end of the period	[Rs.in Crores]	175.43	26.49	409.82	100.43	42.71	398.43	76.41	130.04	13.39	196.84
2	Reserves & Surplus	[Rs.in Crores]	836.50	36.81	720.21	133.75	47.11	225.20	32.27	30.74	40.24	44.34
3.1	Total Net Assets at the beginning of the half-year period	[Rs.in Crores]	990.14	65.10	1,071.40	146.22	94.57	558.69	110.24	125.38	52.91	576.13
3.2	Total Net Assets at the end of the period.	[Rs.in Crores]	1,011.93	63.30	1,130.03	234.18	89.82	623.63	108.68	160.78	53.63	241.18
4.1	NAV at the beginning of the half-year period	[Rs.]		21.901								
	Growth Option	[Rs.]	94.688		42.834	22.448	20.256	17.108	11.6640	14.8148	34.896	13.655
	Dividend Option	[Rs.]	29.864		14.874	15.615	17.733	10.476	10.1020	10.4989	34.897	10.561
	Monthly Dividend Option	[Rs.]								10.2870		
4.2	NAV at the end of the period	[Rs.]		23.894								
	Growth Option	[Rs.]	109.956		50.747	28.423	23.695	20.244	15.0840	15.5217	40.477	14.052
	Dividend Option	[Rs.]	34.680		16.417	19.771	19.097	12.397	13.0640	10.3099	39.400	10.577
	Monthly Dividend Option	[Rs.]								10.5369		
4.3	Dividend paid per unit during the half-year	[Rs.]		0.75	1.00		1.50				^0.8784/**0.8186	
	Monthly Dividend Option	[Rs.]								^0.3997/**0.3723		0.2866
	Quarterly Dividend Option	[Rs.]								^0.4023/**0.3749		
Income												
5.1	Dividend	[Rs.in Crores]	9.41	0.42	10.52	1.38	1.03	4.86	1.00	0.22	-	1.86
5.2	Interest	[Rs.in Crores]	1.29	0.72	1.20	0.07	0.35	0.20	0.38	3.94	-	5.85
5.3	Profit/(Loss) on sale / redemption of investments (other than inter scheme transfer/sale)	[Rs.in Crores]	102.18	5.78	127.32	37.97	13.35	67.46	20.53	2.37	1.62	5.41
5.4	Profit/(Loss) on inter-scheme transfer / sale of investments	[Rs.in Crores]	(0.20)	0.04	(0.37)	(0.03)	(0.07)	(0.04)	(0.03)	(0.49)	-	(0.14)
5.5	Other income	[Rs.in Crores]	-	-	-	-	-	-	-	-	-	-
5.6	Total Income (5.1 to 5.5)	[Rs.in Crores]	112.68	6.96	138.67	39.39	14.66	72.48	21.88	6.04	1.62	12.98
Expenses												
6.1	Management Fees	[Rs.in Crores]	5.23	0.40	5.75	1.05	0.58	3.00	0.67	0.81	0.10	1.01
6.2	Trustee Fees	[Rs.in Crores]	0.26	0.02	0.28	0.05	0.02	0.14	0.03	0.02	0.01	0.10
6.3	Total Recurring Expenses (including 6.1 and 6.2)	[Rs.in Crores]	10.48	0.80	11.37	2.21	1.15	6.37	1.35	1.50	0.20	1.81
6.4	Percentage of Management Fees to daily average net assets (annualised)	[%]	1.02	1.25	1.02	1.14	1.25	1.04	1.23	1.18	0.38	0.53
6.5	Total Recurring expenses as a percentage of daily average net assets (annualised)	[%]	2.04	2.50	2.02	2.39	2.50	2.22	2.48	2.18	0.75	0.95
7.1	Returns during the half-year (Absolute) \$											
a)	Scheme	[%]	16.13	9.10	18.47	26.62	16.98	18.33	29.32	4.75	15.98	2.91
b)	Benchmark	[%]	14.88	10.59	14.19	18.94	14.19	14.19	14.19	4.44	14.88	2.41
7.2	Compounded Annualised yield in case of schemes in existence for more than 1 year \$											
(i)	Last 1 year - Scheme	[%]	19.49	18.13	27.00	44.37	28.12	27.18	39.06	8.69	25.08	4.84
	-Benchmark	[%]	18.61	14.21	19.58	36.51	19.58	19.58	19.58	7.54	18.61	4.05
(ii)	Last 3 years - Scheme	[%]	6.53	6.34	10.33	5.38	10.70	3.78	1.98	3.82	8.74	6.40
	-Benchmark	[%]	6.27	7.91	5.53	10.07	5.53	5.53	5.53	7.18	6.27	6.11
(iii)	Last 5 years - Scheme	[%]	17.04	12.96	23.10	14.86	16.82	NA	NA	5.69	18.58	7.01
	-Benchmark	[%]	18.30	14.55	16.70	19.19	16.70	NA	NA	8.05	18.30	6.25
(iv)	Since launch of the Scheme/Plan	[%]	18.23	11.32	30.74	20.03	17.61	15.62	9.50	6.65	25.55	7.03
	-Benchmark	[%]	17.86	NA	23.09	22.29	18.56	17.45	13.19	7.72	23.57	6.25
	Date of allotment		29-Dec-98	25-Nov-99	9-Sep-04	24-Feb-05	27-Jul-05	23-Nov-05	21-Mar-06	Dec-02-2003	9-Aug-04	29-Sep-05
8	Provision for Doubtful Income/Debts	[Rs.in Crores]	-	-	-	-	-	-	-	-	-	-
9	Payments to associate/group companies	[Rs.in Crores]	0.89	0.03	0.92	0.16	0.09	0.22	0.07	0.07	#	0.25
10	++ Investments made in associate/group companies	[Rs.in Crores]	-	-	-	-	0.31	-	-	-	-	-

Sr. No.	Particulars		Kotak Emerging Equity Scheme	Kotak Gold ETF	Kotak Global Emerging Market Fund^A	Kotak PSU Bank ETF	Kotak Blended FOF	Kotak Indo World Infra structure Fund	Kotak Sensex ETF	Kotak Select Focus Fund	Kotak Nifty ETF
1.1	Unit Capital at the beginning of the half-year period	[Rs.in Crores]	117.10	7.94	236.94	0.87	46.09	717.41	1.59	190.81	0.47
1.1	Unit Capital at the end of the period	[Rs.in Crores]	76.35	13.07	171.42	1.43	37.97	635.74	0.85	105.75	0.23
2	Reserves & Surplus	[Rs.in Crores]	25.49	231.75	-5.84	71.16	3.12	-122.63	16.52	28.54	13.67
3.1	Total Net Assets at the beginning of the half-year period	[Rs.in Crores]	128.97	127.88	213.35	29.74	48.30	517.43	28.16	204.51	24.87
3.2	Total Net Assets at the end of the period.	[Rs.in Crores]	101.84	244.82	165.58	72.59	41.09	513.11	17.37	134.29	13.90
4.1	NAV at the beginning of the half-year period	[Rs.]		1,610.1412		342.8597			176.8796		524.80
	Growth Option	[Rs.]	11.014		9.005			7.212		10.718	
	Dividend Option	[Rs.]	11.014		9.005		10.480	7.212		10.718	
	Monthly Dividend Option	[Rs.]									
4.2	NAV at the end of the period	[Rs.]		1872.8321		508.5252			203.8736		607.2237
	Growth Option	[Rs.]	13.339		9.659			8.071		12.699	
	Dividend Option	[Rs.]	13.339		9.659		10.822	8.071		12.699	
	Monthly Dividend Option	[Rs.]									
4.3	Dividend paid per unit during the half-year	[Rs.]									
	Monthly Dividend Option	[Rs.]									
	Quarterly Dividend Option	[Rs.]									
Income											
5.1	Dividend	[Rs.in Crores]	0.97	-	-	0.48	-	4.25	0.22	1.82	0.40
5.2	Interest	[Rs.in Crores]	0.29	-	0.01	-	-	0.38	-	0.17	-
5.3	Profit/(Loss) on sale / redemption of investments (other than inter scheme transfer/sale)	[Rs.in Crores]	27.31	6.18	(3.79)	7.94	1.51	37.04	1.13	24.61	2.68
5.4	Profit/(Loss) on inter-scheme transfer / sale of investments	[Rs.in Crores]	(0.04)	-	-	-	-	(0.02)	(0.02)	(0.02)	-
5.5	Other income	[Rs.in Crores]	-	-	0.96	-	-	0.27	-	-	-
5.6	Total Income (5.1 to 5.5)	[Rs.in Crores]	28.53	6.18	(2.82)	8.42	1.51	41.92	1.33	26.58	3.08
Expenses											
6.1	Management Fees	[Rs.in Crores]	0.69	0.02	0.13	0.06	0.13	2.58	-	0.96	0.02
6.2	Trustee Fees	[Rs.in Crores]	0.03	0.04	0.05	0.01	0.01	0.12	0.01	0.08	0.01
6.3	Total Recurring Expenses (including 6.1 and 6.2)	[Rs.in Crores]	1.40	0.77	0.71	0.11	0.17	5.07	0.05	2.01	0.08
6.4	Percentage of Management Fees to daily average net assets (annualised)	[%]	1.22	0.03	0.14	0.34	0.60	1.05	-	1.15	0.11
6.5	Total Recurring expenses as a percentage of daily average net assets (annualised)	[%]	2.47	1.00	0.76	0.65	0.75	2.06	0.50	2.40	0.50
7.1	Returns during the half-year (Absolute) \$										
a)	Scheme	[%]	21.11	16.32	7.26	48.32	3.26	11.91	15.26	18.48	15.71
b)	Benchmark	[%]	18.78	16.92	6.45	46.78	10.59	9.24	14.50	14.88	14.88
7.2	Compounded Annualised yield in case of schemes in existence for more than 1 year \$										
@	Last 1 year - Scheme	[%]	38.98	22.35	9.62	53.21	5.20	14.81	18.08	23.78	NA
	-Benchmark	[%]	27.83	23.58	17.67	51.49	14.21	13.90	17.18	18.61	NA
(ii)	Last 3 years - Scheme	[%]	2.38	24.99	(3.74)	NA	NA	NA	NA	NA	NA
	-Benchmark	[%]	2.88	26.28	(3.72)	NA	NA	NA	NA	NA	NA
(iii)	Last 5 years - Scheme	[%]	NA	NA	NA	NA	NA	NA	NA	NA	NA
	-Benchmark	[%]	NA	NA	NA	NA	NA	NA	NA	NA	NA
(iv)	Since launch of the Scheme/Plan	[%]	8.56	26.74	(1.14)	20.86	2.82	(7.63)	12.53	25.50	NA
	-Benchmark	[%]	12.29	29.86	(3.07)	19.74	5.36	0.54	11.57	23.49	NA
	Date of allotment		30-Mar-07	27-Jul-07	26-Sep-07	8-Nov-07	28-Nov-07	25-Jan-08	6-Jun-08	11-Sep-09	2-Feb-10
8	Provision for Doubtful Income/Debts	[Rs.in Crores]	-	-	-	-	-	-	-	-	-
9	Payments to associate/group companies	[Rs.in Crores]	0.14	#	0.18	#	#	0.45	#	0.17	#
10	++ Investments made in associate/group companies	[Rs.in Crores]	0.31	-	-	-	-	-	-	-	0.54

Less than Rs. 0.005 Crores. ++ Investment made in associate group companies excludes Term Deposits. \$ Absolute returns and compounded annualised yield have been calculated assuming reinvestment of the dividend declared, wherever applicable. Compounded annualised yield and absolute returns have been calculated based on NAV of Growth Option of the respective Scheme/Plan. Compounded annualised yield since launch of the Scheme/Plan has been calculated from date of allotment. ^ Dividend paid during the period for Individual / HUF unit holders & ** Dividend paid during the period for Other unit holders -- Benchmarks used for the Schemes are as follows: Kotak Balance and Kotak Blended FOF - CRISIL BALANCED FUND INDEX; Kotak 30 - Kotak Equity FOF; Kotak Select Focus Fund and Kotak Nifty ETF - S&P CNX NIFTY; Kotak Income Plus - CRISIL MIP Blended Index; Kotak Opportunities, Kotak Contra, Kotak Tax Saver, and Kotak Lifestyle - S&P CNX 500; Kotak Midcap - CNX Midcap; Kotak Equity Arbitrage - CRISIL Liquid Fund Index; Kotak Emerging Equity Scheme - BSE Midcap; Kotak Gold ETF - Landed Price of Gold (Domestic) Kotak Global Emerging Market Fund - MSCI Emerging markets index; Kotak PSU Bank ETF - CNX PSU Bank Index; Kotak Indo World Infrastructure Fund - S&P CNX Nifty to the extent of 65% of the portfolio and MSCI World Index to the extent of 35% of the portfolio. Kotak Sensex ETF - BSE Sensex; ^^ Kotak Global Emerging Market Fund was converted from close ended to open ended scheme on 28th Sept., 2010. NA - Not Applicable

Sr. No.	Particulars		Kotak Quarterly Interval Plan - Series 1	Kotak Quarterly Interval Plan - Series 2	Kotak Quarterly Interval Plan - Series 3	Kotak Quarterly Interval Plan - Series 4	Kotak Quarterly Interval Plan - Series 5	Kotak Quarterly Interval Plan - Series 6	Kotak Quarterly Interval Plan - Series 7	Kotak Quarterly Interval Plan - Series 8	Kotak Quarterly Interval Plan - Series 9	Kotak FMP 19M Series 1 @	Kotak Quarterly Interval Plan - Series 10	Kotak FMP 18M Series 1 @	Kotak FMP 13M Series 5 @	Kotak FMP 19M Series 2	Kotak FMP 370 Days Series 1
1.1	Unit Capital at the beginning of the half-year period	[Rs.in Crores]	715.45	35.57	526.60	104.56	0.22	1,825.21	610.66	866.96	1.81	29.71	1.46	25.28	323.40	262.25	290.18
1.2	Unit Capital at the end of the period	[Rs.in Crores]	861.54	113.20	83.18	1,001.93	872.84	677.52	620.36	1,038.28	60.85	29.69	158.35	25.28	323.40	262.25	290.18
2	Reserves & Surplus	[Rs.in Crores]	12.29	8.05	3.17	13.93	11.12	10.69	8.87	9.37	1.21	4.94	2.26	3.06	26.52	16.76	17.57
3.1	Total Net Assets at the beginning of the half-year period	[Rs.in Crores]	723.20	36.36	536.71	109.52	0.23	1,898.13	630.37	885.58	1.84	34.05	1.49	27.69	348.60	274.17	298.59
3.2	Total Net Assets at the end of the period.	[Rs.in Crores]	873.83	121.25	86.35	1,015.86	883.96	688.21	629.23	1,047.65	62.06	34.62	160.61	28.33	349.92	279.01	307.75
4.1	NAV at the beginning of the half-year period																
	Growth Option	[Rs.]	12.0458	12.0206	11.8199	11.7681	11.5775	11.5481	11.0238	10.9220	10.8927		10.7997	10.9547	10.7791	10.4546	10.2899
	Dividend Option	[Rs.]	10.0183	10.0084	10.0086	10.0424	10.0610	10.0078	10.0078	10.0296	10.0771		10.0619		10.7791	10.4546	10.2899
	Retail Growth Option	[Rs.]										11.4376					
	Retail Dividend Option	[Rs.]										11.4388					
	Institutional Growth Option	[Rs.]										11.7482					
	Institutional Dividend Option	[Rs.]										11.7482					
4.2	NAV at the end of the period																
	Growth Option	[Rs.]	12.4206	12.3876	12.1554	12.1381	11.9269	12.0718	11.3574	11.2692	11.1786		11.0767	11.20938579	10.82015676	10.6391	10.6056
	Dividend Option	[Rs.]	10.0246	10.0184	10.0828	10.0543	10.0932	10.0794	10.0723	10.0384	10.1337		10.1224		10.00000036	10.6391	10.6056
	Retail Growth Option	[Rs.]										11.76603060					
	Retail Dividend Option	[Rs.]										10.00000049					
	Institutional Growth Option	[Rs.]										11.81648839					
	Institutional Dividend Option	[Rs.]										10.00000048					
4.3	Dividend paid per unit during the half-year	[Rs.]	^0.26449278/ **0.24643988	^0.25676618/ **0.23932102	^0.17943245/ **0.16723321	^0.26301299/ **0.24508976	^0.234633	^0.14344967/ **0.13370337	^0.20373305/ **0.18988169	^0.26819073/ **0.24980065	^0.17916102/ **0.16688272		^0.17048541/ **0.15881154		^0.71817125/ **0.6686066		
	Retail Dividend Option	[Rs.]										^1.5524568/ **1.44690961					
	Institutional Dividend Option	[Rs.]										^1.59601779					
	Income																
5.1	Dividend	[Rs.in Crores]	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5.2	Interest	[Rs.in Crores]	24.92	3.08	4.74	21.52	10.69	17.38	13.90	26.85	0.84	0.24	2.09	0.64	0.96	9.50	9.38
5.3	Profit/(Loss) on sale / redemption of investments (other than inter scheme transfer/sale)	[Rs.in Crores]	1.29	(0.02)	0.55	0.49	(0.24)	1.02	0.59	0.87	(0.05)	0.55	(0.05)	(0.01)	-	0.06	(0.72)
5.4	Profit/(Loss) on inter-scheme transfer / sale of investments	[Rs.in Crores]	2.64	0.16	0.15	0.45	(1.56)	(0.24)	0.84	2.87	0.01	0.22	(0.06)	0.01	(0.03)	(1.51)	0.27
5.5	Other income	[Rs.in Crores]	-	-	-	#	0.12	-	-	-	0.04	0.04	0.02	-	-	-	-
5.6	Total Income (5.1 to 5.5)	[Rs.in Crores]	28.85	3.22	5.44	22.46	9.01	18.16	15.33	30.59	0.84	1.05	2.00	0.64	0.93	8.05	8.93
	Expenses																
6.1	Management Fees	[Rs.in Crores]	0.36	0.02	0.05	0.32	0.08	0.24	0.23	0.43	#	0.05	0.01	-	#	0.57	0.08
6.2	Trustee Fees	[Rs.in Crores]	0.11	0.01	0.02	0.09	0.04	0.08	0.06	0.12	#	#	0.01	#	0.01	0.03	0.04
6.3	Total Recurring Expenses (including 6.1 and 6.2)	[Rs.in Crores]	0.86	0.08	0.14	0.70	0.26	0.57	0.49	0.95	0.02	0.06	0.05	#	#	0.69	0.15
6.4	Percentage of Management Fees to daily average net assets (annualised)	[%]	0.08	0.03	0.06	0.09	0.05	0.08	0.09	0.09	0.02	1.19	0.03	-	-	0.41	0.05
6.5	Total Recurring expenses as a percentage of daily average net assets (annualised)	[%]	0.19	0.15	0.16	0.20	0.15	0.18	0.19	0.20	0.15	1.40	0.15	0.01	-	0.50	0.10
7.1	Returns during the half-year (Absolute) \$																
a)	Scheme/Plan	[%]	3.09	3.03	2.82	3.13	3.00	4.51	3.01	3.16	2.61	3.31	2.55	2.33	0.38	1.74	3.05
b)	Benchmark --	[%]	2.41	2.41	2.41	2.41	2.41	2.41	2.41	2.41	2.41	1.23	2.41	2.20	0.65	2.60	2.60
7.2	Compounded Annualised yield in case of schemes in existence for more than 1 year \$																
(i)	Last 1 year - Scheme/Plan	[%]	5.27	4.87	4.77	5.11	4.71	6.65	5.22	5.05	4.37	10.95	4.24	6.65	2.86	5.99	NA
	- Benchmark --	[%]	4.05	4.05	4.05	4.05	4.05	4.05	4.05	4.05	4.05	4.05	4.05	4.05	3.46	5.46	NA
(ii)	Last 3 years - Scheme/Plan	[%]	7.39	7.36	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	- Benchmark --	[%]	6.11	6.11	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(iii)	Last 5 years - Scheme/Plan	[%]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
	- Benchmark --	[%]	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA	NA
(iv)	Since launch of the Scheme/Plan	[%]	7.41	7.37	7.07	7.10	6.77	7.43	6.27	5.97	5.79	11.09	5.37	7.87	5.32	6.16	NA
	- Benchmark --	[%]	6.11	6.11	6.12	6.10	6.04	6.04	5.85	5.51	5.41	8.90	5.34	5.76	5.42	5.57	NA
	Date of allotment		19-Sep-07	27-Sep-07	22-Nov-07	4-Dec-07	22-Jan-08	14-Feb-08	29-Aug-08	11-Sep-08	8-Oct-08	17-Oct-08	17-Oct-08	13-Mar-09	24-Mar-09	17-Sep-09	22-Oct-09
8	Provision for Doubtful Income/Debts	[Rs.in Crores]	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
9	Payments to associate/group companies	[Rs.in Crores]	0.01	#	#	0.01	#	0.01	0.01	0.01	#	#	#	#	#	#	#
10	++ Investments made in associate/group companies	[Rs.in Crores]	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-

Sr. No.	Particulars		Kotak FMP 18M Series 2	Kotak FMP 18M Series 3	Kotak FMP 13M Series 6	Kotak FMP 18M Series 4	Kotak FMP 18M Series 5	Kotak FMP 370 Days Series 2	Kotak FMP 370 Days Series 3	Kotak 24M Series 1	Kotak FMP 370 Days Series 5	Kotak FMP 370 Days Series 6	Kotak FMP 370 Days Series 7	Kotak 24M Series 2	Kotak 6M Series 9	Kotak FMP 370 Days Series 8
1.1	Unit Capital at the beginning of the half-year period	[Rs.in Crores]	109.45	53.95	398.96	222.21	28.32	346.61	366.66	153.20						
1.2	Unit Capital at the end of the period	[Rs.in Crores]	109.45	53.95	398.96	222.21	28.32	346.61	367.02	153.20	43.95	114.20	448.64	34.42	184.03	219.62
2	Reserves & Surplus	[Rs.in Crores]	4.87	2.21	11.23	4.15	0.35	9.19	8.69	3.76	0.53	0.43	1.69	0.06	0.42	0.17
3.1	Total Net Assets at the beginning of the half-year period	[Rs.in Crores]	112.34	54.84	400.57	222.43	28.33	347.09	366.96	153.92						
3.2	Total Net Assets at the end of the period.	[Rs.in Crores]	114.32	56.16	410.19	226.36	28.93	355.80	375.71	156.96	44.48	114.63	450.33	34.48	184.45	219.79
4.1	NAV at the beginning of the half-year period															
	Growth Option	[Rs.]	10.2641	10.1652	10.0406	10.0097	10.0036	10.0139	10.0084	10.0468						
	Dividend Option	[Rs.]	10.2641	10.1652	10.0406	10.0097	10.0036	10.0139	10.0084	10.0468						
4.2	NAV at the end of the period															
	Growth Option	[Rs.]	10.4450	10.4090	10.2816	10.1869	10.1219	10.2651	10.2591	10.2456	10.1212	10.0376	10.0377	10.0172	10.0225	10.0078
	Dividend Option	[Rs.]	10.4450	10.4090	10.2816	10.1869	10.1207	10.2651	10.0964	10.2456	10.1212	10.0376	10.0377	10.0172	10.0225	10.0078
4.3	Dividend paid per unit during the half-year	[Rs.]							^0.1416**0.1320							
	Income															
5.1	Dividend	[Rs.in Crores]	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5.2	Interest	[Rs.in Crores]	3.99	1.98	12.94	7.65	0.76	10.53	11.01	5.86	0.66	1.34	2.41	0.05	0.51	0.19
5.3	Profit/(Loss) on sale / redemption of investments (other than inter scheme transfer/sale)	[Rs.in Crores]	0.10	(0.06)	0.63	0.03	(0.04)	0.12	0.09	(0.33)	(0.01)	(0.06)	0.01	-	-	-
5.4	Profit/(Loss) on inter-scheme transfer / sale of investments	[Rs.in Crores]	(1.40)	(0.42)	(2.22)	(1.71)	(0.14)	(1.32)	(1.06)	(0.83)	(0.04)	(0.49)	(0.08)	-	-	-
5.5	Other income	[Rs.in Crores]	-	-	-	-	-	-	-	-	-	-	-	-	-	-
5.6	Total Income (5.1 to 5.5)	[Rs.in Crores]	2.69	1.50	11.35	5.97	0.58	9.33	10.04	4.70	0.61	0.79	2.34	0.05	0.51	0.19
	Expenses															
6.1	Management Fees	[Rs.in Crores]	0.05	0.08	0.04	0.87	0.12	0.22	0.23	0.67	0.02	0.05	0.26	0.01	0.07	0.02
6.2	Trustee Fees	[Rs.in Crores]	0.01	0.01	0.05	0.03</										

Notes Forming part of the Accounts (continued)

2. Disclosure Under Regulation 25(11) of the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 (continued)

			Investing Schemes/ (s)														Rs. in Laacs				
Company	Scheme Invested in by Company		LIQ	MID	MNC	NTF	PIB	P3B	P3C	P3D	P3E	P3F	P3G	P3H	P3I	P3J	SEF	STF	TEK	WIF	
Allahabad Bank	LIQ, FLX	Purchase Closing Value	124,519.58 792.92	798.87 -	-	-	4,481.47	1,489.17	16,140.20	4,900.97	-	12,230.84	4,885.10 5,253.11	14,647.50 2,081.42	980.23	-	265.61	-	-	-	255.65
Bank Of Baroda	FLX, LIQ	Purchase Closing Value	47,503.33 7,450.75	161.66	-	-	-	-	-	-	-	-	-	-	-	-	1,450.94	-	-	-	1,672.67
Bank of India	FLX, FLR, LIQ	Purchase Closing Value	16,123.51 -	251.61	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-
Bharti Airtel Ltd.	LIQ, ISA, P3C	Purchase Closing Value	- -	-	191.01	389.65 34.79	-	-	-	-	-	-	-	-	-	-	204.62	87.48	126.44	-	4,253.62
Canara Bank	FLX, LIQ	Purchase Closing Value	70,413.10 2,662.04	283.92	-	-	-	-	-	30,274.36 29,476.59	-	2,449.11 18,997.74	7,318.43 13,264.47	28,021.14 4,225.13	-	-	372.27	-	-	-	765.51
Corporation Bank	BST, FLX, LIQ	Purchase Closing Value	45,790.59 -	990.87 325.69	-	-	-	993.68	2,460.24	-	-	-	-	-	-	1,490.53	158.93	-	-	-	-
Dena Bank	LIQ	Purchase Closing Value	1,968.01 -	239.19	-	-	-	-	-	-	-	-	-	-	-	-	436.12	-	-	-	631.29
DLF Limited	LIQ, FLT	Purchase Closing Value	- -	-	-	125.93 10.67	-	-	-	-	-	-	-	-	-	-	751.60	36.08	-	-	1,778.84
HDFC Bank Ltd.	FLT, LIQ	Purchase Closing Value	44,168.54 -	305.17	-	470.58 68.26	-	-	19,448.78	12,649.28	2,917.22	2,476.86 3,592.77	3,403.43	-	-	-	980.34	279.70	-	-	3,771.44
Hindalco Industries Ltd.	P3H, P3G, P3D, ISA, LIQ, FLR, D05, I4D, I3C, BST	Purchase Closing Value	1,057.39 -	149.40	-	212.20 19.94	-	-	-	-	-	-	-	-	-	-	1,029.34	100.92	-	-	979.13
Hindustan Zinc Ltd	I3F, D01, D02, D03, D07, D08, FLX, FLT, LIQ, ISA, P3D, P3G, P3H, P3F, P3E	Purchase Closing Value	22,334.95 -	-	-	-	-	-	-	-	-	-	-	-	-	-	1,370.29	29.12	-	-	768.26
IDBI Bank Ltd	FLX, FLT, LIQ	Purchase Closing Value	3,618.21 -	250.31	-	-	-	-	-	-	-	-	-	-	-	-	516.94	-	-	-	1,337.44
Idea Cellular Ltd.	P3F, LIQ	Purchase Closing Value	994.77 32,782.35	-	-	94.65 0.40	-	-	-	-	-	-	-	-	-	-	-	-	62.68	-	1,250.08
Infrastructure Development Finance Co. Ltd	BST, FLX, FLR, LIQ, NTF	Purchase Closing Value	41,594.12 10,877.06	441.26	-	157.71 18.04	-	-	-	2,490.45	-	4,254.28	-	19,737.20 11,440.74	-	-	319.31	-	-	-	2,993.88
ING Vysya Bank Ltd	LIQ	Purchase Closing Value	2,469.87 18,268.44	1,080.14 399.42	-	-	-	-	972.40	-	-	23,019.70 9,822.87	-	-	-	-	-	-	-	-	998.58 266.28
ITC Ltd.	BON, D01, D03, LIQ, ISA, P3B, P3D, P3F, P3G	Purchase Closing Value	- -	-	117.96	703.10 72.77	-	-	-	-	-	-	-	-	-	-	325.95 356.60	329.28	105.26	-	-
Jammu & Kashmir Bank	LIQ, FLX	Purchase Closing Value	7,429.77 -	-	-	-	-	-	5,423.02	998.31	-	-	4,946.14	-	-	-	-	-	-	-	-
Larsen and Toubro Ltd.	P3H, P3G, P3F, ISA, LIQ, D08, D05, D02, CPL	Purchase Closing Value	19,000.00 -	38.39	-	900.26 84.75	-	-	-	-	-	-	-	-	-	-	1,003.77 410.63	427.64	122.76	-	4,267.88
Mahindra & Mahindra Ltd.	P3B	Purchase Closing Value	- -	-	-	235.44 22.96	-	-	-	-	-	-	-	-	-	-	368.84	51.13	-	-	2,155.81
Maruti Suzuki India Limited	P3H, P3G, P3F, P3D, P3C, ISA, S6I, D03, D02, I3F, BST, BON	Purchase Closing Value	- -	126.49	205.73	187.06 14.84	-	-	-	-	-	-	-	-	-	-	1,114.17	33.19	-	-	-
Sesa Goa Ltd.	D01, D03, FLX, FLT, LIQ, ISA, P3C, P3D, P3E, P3F	Purchase Closing Value	10,551.11 -	780.25 224.98	-	-	-	-	-	-	-	-	-	-	-	-	357.59	477.90	-	-	699.66
Shriram Transport Finance Co Ltd.	LIQ	Purchase Closing Value	41,713.70 -	-	-	525.32 65.00	998.97	8,875.62	-	2,482.99	-	-	-	-	-	961.53	1,244.25	127.71	-	-	8,968.12
State Bank Of India.	LIQ	Purchase Closing Value	- -	-	-	324.82 20.61	-	-	-	-	-	-	-	-	-	-	486.07	101.88	-	-	2,333.12
Sterlite Industries (India) Ltd	P3H, P3F, P3E, P3D, ISA, LIQ, FLT, FLX, D08, D07, D01	Purchase Closing Value	19,931.91 -	726.66	236.56	369.28 36.54	-	-	-	-	-	-	-	-	-	-	1,141.11	107.83	-	-	3,381.18
Tata Consultancy Services Ltd.	LIQ, FLX	Purchase Closing Value	- -	-	-	369.28 36.54	-	-	-	-	-	-	-	-	-	-	1,118.69	94.98	162.28	-	-
Tata Motors Ltd.	LIQ	Purchase Closing Value	7,462.16 -	-	-	231.28 27.27	-	-	-	-	-	-	-	-	-	-	231.74	59.73	-	-	-
Tata Steel Limited	FLX, FLT, LIQ	Purchase Closing Value	10,000.00 -	448.60	-	359.93 31.45	-	-	-	-	-	-	-	-	-	-	424.56	226.64	-	-	5,192.59
Ultratech Cement Ltd.	P3H, P3G, P3D, 18B, BST	Purchase Closing Value	- -	309.99	-	-	-	-	-	-	-	-	-	-	-	-	-	-	45.37	-	859.86
Union Bank of India	FLX, LIQ	Purchase Closing Value	22,202.32 -	999.52 338.34	-	-	-	-	3,473.35	-	-	-	-	-	-	-	503.76	-	-	-	2,240.78
United Bank Of India	LIQ, FLR, FLX	Purchase Closing Value	70,068.94 -	-	-	-	-	-	-	-	-	9,760.20	18,524.42 18,660.74	-	-	-	194.45 101.43	-	-	-	1,050.03 681.63
Wipro Ltd.	BST, FLX, ISA, P3B, P3D, P3F, P3G, P3H	Purchase Closing Value	- -	-	-	211.32 17.57	-	-	-	-	-	-	-	-	-	-	1,116.52	42.19	32.71	-	-

			Investing Schemes/ (s)																		Rs. in Laacs			
Company	Scheme Invested in by Company		12H	12I	12K	13C	13D	13E	13F	15D	15E	19B	A01	A03	BAL	BON	BST	BTF	CON	CPL	CRO	D01	D02	
Asian Paints(India) Ltd.	FLX	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	119.90	-	-	-	-	-
Bajaj Auto Ltd.	LIQ	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	260.77	-	-	-	-	283.63	-	-	-	-
Birla Corporation Ltd.	S6I, KGI	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	94.23	-	-	-	96.69	31.33	-	-	-	-
Cairn India Limited	P3B, P3G	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	66.58	-	-	-	545.75	2,394.49	-	-	-	-
Central Bank Of India	FLX, LIQ	Purchase Closing Value	-	-	-	-	-	4,761.66	-	-	-	-	-	-	82.01	-	-	-	-	211.05	43.67	1,945.17	2,189.72	
Cummins India Ltd.	P3J	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	63.15	96.07	-	-	-	-	-
Dewan Housing Finance Corporation Ltd.	FLR	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	53.61	-	-	-	-	-
Eicher Motors Ltd.	D02, D03, P3J	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	76.25	-	-	-	-	-
Exide Industries Ltd.	FLX	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	75.53	-	-	-	-	-	-	-	-	-
Export-Import Bank of India.	FLR	Purchase Closing Value	-	-	-	-	-	-	9,901.07	-	-	-	-	-	-	-	9,588.88	-	-	-	-	-	-	-
Godrej Properties Limited	P3G	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	32.03	-	-	-	65.52	-	-	-	-	-
Grasim Industries Ltd.	BON, BST, 18B, D07, S6I	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	54.77	5,666.22	1,038.83	-	-	1,696.81	47.18	-	-	
HCL Infosystems Ltd.	24A, D06	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	88.81	-	-	-	-	-	-	-	-	-
HCL Technologies Ltd.	24A, D06	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	178.68	-	-	-	149.91	99.18	-	-	-	
Hindustan Unilever Ltd.	LIQ	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	117.81	64.49	-	-	168.30	256.43	-	-	-	
ICICI Home Finance Company Ltd.	FLX	Purchase Closing Value	4,465.60	-	-	-	-	-	1,587.23	-	-	3,500.00	-	4,980.97	-	-	-	-	-	-	-	-	-	-
IDBI Bank Ltd.	FLX, FLT, LIQ	Purchase Closing Value	-	4,190.71	4,888.75	2,370.29	-	-	5,829.26	1,901.10	2,300.41	703.53	2,494.99	-	-	-	-	-	11,120.55	3,006.83	2,875.76	-	-	
JM Financial Products Private Ltd.	CPL	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	2,325.58	-	-	-	-
L & T Finance Limited	LIQ	Purchase Closing Value	-	-	-	-	-	-	7,094.42	-	-	-	-	-	-	-	-	-	-	-	-	4,870.17	-	
Maharashtra Seamless Ltd.	BST, BON	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	7.28	-	-	-	-
Man Infraconstruction Limited	P3I, P3B	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	95.65	-	-	-	-	-	-	-	-	-
NABARD	LIQ	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	2,618.04	6,281.38	1,391.02	-	-	400.45	395.20	-	
Nava Bharat Ventures Ltd	P3G, P3C, S6I	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	116.72	-	-	-	-	-
Patni Computer Systems (P) Ltd.	P3F, P3C, ISA, S6I	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	177.29	-	-	-	202.35	514.69	-	-	-	
Persistent Systems Limited	P3D	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	3.22	-	-	-	5.88	-	-	-	-	-
Petronet LNG Ltd.	FLX, LIQ	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	48.90	-	-	-	-	-	44.93	-	-	
Piramal Healthcare Limited	FLT, LIQ, P3H	Purchase Closing Value	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	624.69	472.03	-	-	

Notes Forming part of the Accounts (continued)

2. Disclosure Under Regulation 25(11) of the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 (continued)

Company	Scheme Invested in by Company	Purchase	Investing Schemes/ (s)																		Rs. in Lacs			
			D05	D06	DAA	ELS	EME	FLR	FLT	FLX	I3A	K30	KIP	KOP	LIF	LIQ	MID	NTF	PIC	P3B	P3C	P3D	P3E	
HCL Infosystems Ltd.	24A, D06	Purchase				1,072.36	152.92																	
HCL Technologies Ltd.	24A, D06	Closing Value				-	-																	
Hindustan Unilever Ltd.	LIQ	Purchase				2,065.30	207.10																	
ICICI Home Finance Company Limited	FLX	Closing Value			4,974.67	-	-																	
IDBI Bank Ltd.	FLX, FLT, LIQ	Purchase	986.86			7,345.64	179,593.56	131,397.78	2,397.66															
JM Financial Products Private Limited	CPL	Closing Value				4,433.59	436.11	396.46	3.96															
Kotak Mahindra Bank Ltd.	LIQ, FLT	Purchase																						
L & T Finance Limited	LIQ	Closing Value						2,423.76	21,648.43															
Maharashtra Seamless Ltd.	BST, BON	Purchase				792.66	159.76																	
Man Infraconstruction Limited	P3I, P3B	Closing Value				841.79	-	11.45																
National Bank for Agriculture and Rural Development	LIQ	Purchase	600.67	1,501.68																				
Nava Bharat Ventures Ltd	P3G, P3C, S6I	Closing Value	592.80	1,482.00																				
Patri Computer Systems (P) Ltd.	P3F, P3C, I3A, S6I	Purchase				807.37	212.56																	
Persistent Systems Limited	P3D	Closing Value				940.97	675.24																	
Petronet LNG Ltd.	FLX, LIQ	Purchase				1,143.74																		
Piramal Healthcare Limited	FLT, LIQ, P3H	Closing Value																						
Religare Finvest Ltd.	KGI	Purchase						5,061.99	18,272.78															
Small Industries Development Bank of India	LIQ	Closing Value							9,968.95															
State Bank of Travancore	LIQ	Purchase							76,698.32															
Sun Pharmaceutical Industries Ltd.	I3F, D02, D03, P3G	Closing Value				1,653.52																		
Syndicate Bank	FLX	Purchase				909.23																		
TATA Capital Ltd.	LIQ	Closing Value				6,810.65	113,072.34	96,988.86																
Tata Motors Finance Ltd	LIQ	Purchase				2,484.38	74,500.00	200,678.16																
The Great Eastern Shipping Company Ltd.	KGS, KGI, FLR, BST	Closing Value				16,000.00	9,435.29																	
Unitech Ltd.	LIQ	Purchase				296.79	141.71																	
		Closing Value				28.44																		

3. Below is the List of Short Names of the Schemes for the above table :- (continued)

Company	Scheme Invested in by Company	Rs Lacs	Investing Schemes/ (s)								Rs. in Lacs		Scheme Names	Short Names	Scheme Names	Short Names
			P3F	P3G	P3H	P3I	P3J	S6I	SEF	STF	WIF					
Asian Paints(India) Ltd.	FLX	Purchase									168.39		Kotak 30	K30	Kotak FMP 1M Series 1	A01
Bajaj Auto Ltd.	LIQ	Closing Value									210.61		Kotak Balance	BAL	Kotak FMP 1M Series 3	A03
Birla Corporation Ltd.	S6I, KGI	Purchase											Kotak Bond	BON	Kotak FMP 24M Series 1	24A
Cairn India Limited	P3B, P3G	Closing Value											Kotak Bond Short Term	BST	Kotak FMP 370 Days Series 1	D01
Central Bank Of India	FLX, LIQ	Purchase	8,227.65	2,464.69	9,876.55	1,768.37	1,768.37	4,941.05	693.52	1,188.90			Kotak Floater Long Term	FLT	Kotak FMP 370 Days Series 2	D02
Cummins India Ltd.	P3J	Closing Value			13,335.81	1,782.35	4,732.51	2,970.58	198.04				Kotak FMP 12M Series 2	I2B	Kotak FMP 370 Days Series 3	D03
Exide Industries Ltd.	FLX	Purchase											Kotak FMP 12M Series 4	I2D	Kotak FMP 370 Days Series 5	D05
Export-Import Bank of India.	FLR	Closing Value	4,950.39										Kotak FMP 3M Series 28	C28	Kotak FMP 370 Days Series 6	D06
Godrej Properties Limited	P3G	Purchase											Kotak FMP 3M Series 29	C29	Kotak FMP 370 Days Series 7	D07
Grasim Industries Ltd.	BON, BST, 18B, D07, S6I	Closing Value											Kotak Liquid	LIQ	Kotak FMP 3M Series 31	C31
Gujarat Gas Company Ltd.	P3B	Purchase											Kotak MNC	MNC	Kotak FMP 3M Series 32	C32
HCL Infosystems Ltd.	24A, D06	Closing Value											Kotak Technology	TEK	Kotak FMP 3M Series 33	C33
HCL Technologies Ltd.	24A, D06	Purchase											Kotak Contra	CON	Kotak FMP 6M Series 7	S6G
Hindustan Unilever Ltd.	LIQ	Closing Value											Kotak Credit Opportunities Fund	CRO	Kotak FMP 6M Series 9	S6I
ICICI Home Finance Company Limited	FLX	Purchase	970.47										Kotak Dynamic Asset Allocation	DA A	Kotak Global India	GIS
IDBI Bank Ltd.	FLX, FLT, LIQ	Closing Value	6,393.45		974.76	2,440.11							Kotak Emerging Equity Scheme	EME	Kotak Income Plus	KIP
Maharashtra Seamless Ltd.	BST, BON	Purchase	2,433.50		2,572.92	1,013.87							Kotak Equity Arbitrage Fund	CPL	Kotak Indo World Infrastructure Fund	WIF
Man Infraconstruction Limited	P3I, P3B	Closing Value											Kotak Flexi Debt	FLX	Kotak Lifestyle	LIF
Nava Bharat Ventures Ltd	P3G, P3C, S6I	Purchase											Kotak Floater Short Term	FLR	Kotak Midcap	MID
Patri Computer Systems (P) Ltd.	P3F, P3C, I3A, S6I	Closing Value											Kotak FMP 12M Series 10	I2J	Kotak Monthly Interval Plan Series 2	P1B
Persistent Systems Limited	P3D	Purchase											Kotak FMP 12M Series 11	I2K	Kotak Monthly Interval Plan Series 3	P1C
Petronet LNG Ltd.	FLX, LIQ	Closing Value											Kotak FMP 12M Series 3	I2C	Kotak Nifty ETF	NTF
Piramal Healthcare Limited	FLT, LIQ, P3H	Purchase											Kotak FMP 12M Series 5	I2E	Kotak Opportunities	KOP
Small Industries Development Bank of India.	LIQ	Closing Value	3,572.98										Kotak FMP 12M Series 6	I2F	Kotak PSU Bank ETF	BTF
Sun Pharmaceutical Industries Ltd.	I3F, D02, D03, P3G	Purchase											Kotak FMP 12M Series 7	I2G	Kotak Quarterly Interval Plan Series 10	P3J
Tata Motors Finance Ltd	LIQ	Closing Value											Kotak FMP 12M Series 8	I2H	Kotak Quarterly Interval Plan Series 7	P3G
The Great Eastern Shipping Company Ltd	KGS, KGI, FLR, BST	Purchase											Kotak FMP 12M Series 9	I2I	Kotak Quarterly Interval Plan Series 8	P3H
		Closing Value											Kotak FMP 13M Series 3	I3C	Kotak Quarterly Interval Plan Series 9	P3I
													Kotak FMP 13M Series 4	I3D	Kotak Quarterly Interval Plan-Series 5	P3E
													Kotak FMP 13M Series 5	I3E	Kotak Quarterly Interval Plan-Series 6	P3F
													Kotak FMP 13M Series 6	I3F	Kotak Quarterly Interval Plan-Series I	I3A
													Kotak FMP 14M Series 3	I4C	Kotak Quarterly Interval Plan-Series II	P3B
													Kotak FMP 15M Series 4	I5D	Kotak Quarterly Interval Plan-Series III	P3C
													Kotak FMP 15M Series 5	I5E	Kotak Quarterly Interval Plan-Series IV	P3D
													Kotak FMP 16M Series 2	I6B	Kotak Select Focus Fund	STF
													Kotak FMP 17M Series 1	I7A	Kotak Sensex ETF	SEF
													Kotak FMP 18M Series 3	I8C	Kotak Tax Saver	ELS
													Kotak FMP 18M Series 4	I8D	Kotak Gilt Investment Plan	KGI
													Kotak FMP 19M Series 1	I9A	Kotak Gilt Savings	KGS
													Kotak FMP 19M Series 2	I9B		

Notes: 1. Purchase is Aggregate investments made by the scheme(s) in the company for the period under regulation (Rs. Lacs) 2. Closing Value is Outstanding investment at Fair/Market Value as on 30/09/2010 (Rs. Lacs)

3. Disclosure Under Regulation 25(8) of the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended: Kotak Securities Limited, Kotak Mahindra Capital Company Limited, Kotak Mahindra International Ltd. and Kotak Mahindra Inc., associate companies of Kotak Mahindra Asset Management Company Limited (KMAMCL) and Kotak Mahindra Bank Limited, the holding company of KMAMCL were paid commission / promotional expenses for distribution of units for the Schemes, brokerage on purchase and sale of investments and / or charges for services provided by Bank during the half-year. The commission/ promotional expenses, brokerage and charges for services provided by Bank paid were at rates similar to those offered to other distributors / brokers/ banks.

PART 1 : Nature of Association/ Nature of relation : Charges for services provided by Bank.

Name of the associate/related parties/ group companies of the Sponsor/AMC	Charges (Rs Cr & % of total Charges paid by the fund)			
	Oct 09 to Mar 10	Apr 10 to Sep 10	Oct 09 to Mar 10	Apr 10 to Sep 10
	Rs. In Crores	Rs. In Crores	%	%
Kotak Mahindra Bank Ltd.	1.17	0.39	86.62	62.18

PART 2 : Nature of Association/ Nature of relation : Brokerage on purchase and sale of investments

Name of the associate/related parties/ group companies of the Sponsor/AMC	Value of transaction (in Rs. Cr. & % of total value of transaction of the fund)				Brokerage (Rs Cr & % of total brokerage paid by the fund)			
	Oct 09 - Mar 10	Apr 10 - Sep 10	Oct 09 - Mar 10	Apr 10 - Sep 10	Oct 09 - Mar 10	Apr 10 - Sep 10	Oct 09 - Mar 10	Apr 10 - Sep 10
	Rs. In Crores	Rs. In Crores	%	%	Rs. In Crores	Rs. In Crores	%	%
Kotak Securities	2,098.06	1,016.20	8.83	0.40	2.29	1.45	10.49	8.91

PART 3 : Nature of Association/ Nature of relation : Commission / promotional expense for distribution of units

Name of the associate/related parties/ group companies of the Sponsor/AMC	Business given (Rs. Cr. & % of total business received by the fund)				Commission paid (Rs & % of total commission paid by the fund)			
	Apr 10 to Sep 10	Oct 09 to Mar 10	Apr 10 to Sep 10	Oct 09 to Mar 10	Apr 10 to Sep 10	Oct 09 to Mar 10	Apr 10 to Sep 10	Oct 09 to Mar 10
	Rs. (Cr.)	Rs. (Cr.)	%	%	Rs. (Cr.)	Rs. (Cr.)	%	%
Kotak Securities Ltd	12,722.92	30,050.62	4.46%	8.46%	0.54	0.68	1.44%	1.72%
Kotak Mahindra Inc.	-	0.01	-	#%	0.02	0.02	0.05%	0.04%
Kotak Mahindra Capital Company Ltd	-	-	-	-	#	#	#%	#%
Kotak Mahindra Bank Ltd.	29,360.26	21,879.27	10.29%	6.16%	3.41	5.61	9.02%	14.17%
Damascus Investment & Trading Co. Ltd	89.24	-	0.03%	-	#	-	0.01%	-
Kotak Mahindra (International) LTD	1,236.33	213.95	0.43%	0.06%	0.46	0.30	1.23%	0.76%

Less than Rs. 0.005 Crores or 0.005%

3. (ii) During the period, the following Schemes have subscribed to the following issues of